

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF PUERTO RICO

CARMELO ROMAN, RICARDO
ROMAN-RIVERA and
SDM HOLDINGS, INC., individually
and on behalf of all others similarly
situated

Plaintiffs

vs.

UBS FINANCIAL SERVICES, INC.
OF PUERTO RICO; UBS TRUST
COMPANY OF PUERTO RICO;
PUERTO RICO INVESTORS
TAX-FREE FUND IV, INC.; PUERTO
RICO FIXED INCOME FUND III, INC.;
PUERTO RICO FIXED INCOME
FUND V, INC.; PUERTO RICO
INVESTORS BOND FUND I, INC.;
PUERTO RICO AAA PORTFOLIO
BOND FUND, INC.; PUERTO
RICO AAA PORTFOLIO BOND
FUND II, INC.; MIGUEL A. FERRER;
CARLOS J. ORTIZ

Defendants

CIVIL 12-1663CCC

ORDER

The Motion to Restrict in Compliance with Standing Order No. 9 (Regarding ECF Nos. 188 and 190-1) filed by plaintiffs Ricardo Román-Rivera, Carmelo Román and SDM Holdings, Inc. (**d.e. 191**) is GRANTED.

Plaintiffs' Motion to Restrict in Compliance with Standing Order No. 9 (Regarding ECF Nos. 189 and 190-1) (**d.e. 192**) is GRANTED.

Plaintiffs' Motion to Unseal Class Certification Documents (**d.e. 193**), having considered defendants' opposition (d.e. 200), is DENIED.

Plaintiffs' Motion to Restrict in Compliance with Standing Order No. 9 (Regarding ECF No. 197) (**d.e. 199**) is GRANTED.

Plaintiffs' Motion for Leave to File Reply in Further Support of Plaintiff's Motion for Intervention (**d.e. 203**) is GRANTED. The tendered reply brief is ORDERED FILED.

Plaintiffs' Motion to Restrict in Compliance with Standing Order No. 9 (Regarding ECF No. 203) (**d.e. 204**) is GRANTED.

The Motion to Restrict Viewing of Docket No. 209 and Exhibits in Support filed by defendants UBS Financial Services Incorporated of Puerto Rico, UBS Trust Company of Puerto Rico and Carlos J. Ortiz (**d.e. 211**) is GRANTED.

Defendants' Motion to Restrict Viewing of Docket No. 212 and Exhibits in Support (**d.e. 213**) is GRANTED.

The Joinder to the UBS Defendants, Fund Defendants and Carlos J. Ortiz' Sur-Reply in Opposition to Plaintiffs' Second Motion for Class Certification filed by defendant Miguel A. Ferrer (**d.e. 214**) is NOTED.

Plaintiffs' Motion to Restrict in Compliance with Standing Order No. 9 (Regarding ECF No. 210) (**d.e. 215**) is GRANTED.

Plaintiffs' Motion to Restrict in Compliance with Standing Order No. 9 (Regarding ECF No. 221) (**d.e. 222**) is GRANTED.

Defendants' Motion to Restrict Viewing of Docket No. 223 (**d.e. 224**) is GRANTED.

SO ORDERED.

At San Juan, Puerto Rico, on July 29, 2016.

S/CARMEN CONSUELO CEREZO
United States District Judge